Wallfort Financial Services Ltd.

Broking & Depository Division

Data for Month ending October 2026.

Sr.No	Received From	Carried forward from	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average resolution time^(in
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from investors	0	0	0	0	0	0	0
2	SEBI(SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0	0

Trend of Monthly Disposal of Complaints

Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	APR 2026	0	0	0	0
2	MAY-2026	0	0	0	0
3	JUNE 2026	0	0	0	0
4	JULY 2026	0	0	0	0
5	AUG 2026	0	0	0	0
6	SEP 2026	0	0	0	0
7	OCT 2026	0	0	0	0
	GRAND TOTAL	0	0	0	0

* Includes complaints of previous months resolved in the current month.

** Including total complaints pending as on the last day of the month.

^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of

Trend of Annual Disposal of Complaints

Sr No	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2020-21	0	0	0	0
2	2021-22	0	0	0	0
3	2022-23	0	0	0	0
4	2023-24	0	0	0	0
5	2024-25	0	1	1	0
6	2025-26	0	0	0	0
	Grand Total	0	1	1	0

*Inclusive of complaints of previous years resolved in the current year.

#Inclusive of complaints pending as on the last day of the year.

Wallfort Financial Services Ltd.

Research Analyst Division

Data for Month ending OCTOBER 2026.

Sr.No	Received From	Carried forward from	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average resolution time^(in
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from investors	0	0	0	0	0	0	0
2	SEBI(SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0	0

Trend of Monthly Disposal of Complaints

Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	APR 2026	0	0	0	0
2	MAY-2026	0	0	0	0
3	JUNE 2026	0	0	0	0
4	JULY 2026	0	0	0	0
5	AUG 2026	0	0	0	0
6	SEP 2026	0	0	0	0
7	OCT 2026	0	0	0	0
	GRAND TOTAL	0	0	0	0

* Includes complaints of previous months resolved in the current month.

** Including total complaints pending as on the last day of the month.

^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of

Trend of Annual Disposal of Complaints

Sr No	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2020-21	0	0	0	0
2	2021-22	0	0	0	0
3	2022-23	0	0	0	0
4	2023-24	0	0	0	0
5	2024-25	0	1	1	0
6	2025-26	0	0	0	0
	Grand Total	0	1	1	0

*Inclusive of complaints of previous years resolved in the current year.

#Inclusive of complaints pending as on the last day of the year.