



WALLFORT

Financial Services Ltd.

WALLFORT FINANCIAL SERVICES LIMITED

Policy on Handling of Good till Cancelled Orders (GTC) for Clients

POLICY ON HANDLING OF GOODS TILL CANCELLED – WFSL

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POLICY ON HANDLING OF GOODS TILL CANCELLED – WFSL

BACKGROUND

This policy outlines the procedures and guidelines for handling Good till Cancelled (GTC) and similar types of orders offered by our firm to clients. This policy is formulated in compliance with the National Stock Exchange of India Limited (NSE) Circular Ref. No: NSE/INSP/62528 dated June 21, 2024 and NSE /INSP/63789 dated September 06, 2024 and aims to ensure consistency, transparency, and protection of investor interests.

SCOPE

This policy applies to all clients of who use GTC orders or similar types of orders. It details the validity of such orders, their handling in case of corporate actions, and communication protocols to clients.

ORDER VALIDITY:

A GTC order will stay active up to 90 days after which the order automatically expires if not executed or the investor cancels it.

HANDLING OF GTD ORDERS DURING CORPORATE ACTIONS

Handling of Orders During Corporate Actions In the event of corporate actions (e.g., stock splits, mergers), the handling of GTC will follow these protocols:

No handling will be done by WFSL, and the clients need to monitor and will be solely responsible for effect on prices and triggers based on stock / underlying specific corporate actions. Clients shall review their GTT orders pro-actively whenever there may be an impact of corporate action on their order/s. It would be the onus of the client to take appropriate action to modify / cancel orders accordingly.

INTEGRATION WITH ACCOUNT OPENING DOCUMENTS

The policy will be included in the Account Opening Form/Kit under the heading "Policy on Handling of Good till Cancelled Orders of Client". The policy will be made available to clients

POLICY COMMUNICATION TO EXISTING CLIENTS

Existing clients will be informed of this policy via email or other suitable mechanisms. If the initial communication is undelivered, alternative channels will be employed to ensure receipt.

REVIEW POLICY:

This policy is approved by Board of Directors and will be reviewed annually or as required in response to regulatory changes.