| General information about company | | | | | | | | |
|--|--|--|--|--|--|--|--|--|
| Scrip code | 532053 | | | | | | | |
| Name of the company | WALLFORT FINANCIAL SERVICES LIMITED | | | | | | | |
| Whether company is SME | No | | | | | | | |
| Class of Security | Equity Shares | | | | | | | |
| Type of report | Quarterly | | | | | | | |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-12-2016 | | | | | | | |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | | | | | | | | |
| Shareholding pattern filed under | Regulation 31 (1) (b) | | | | | | | |

| Sr. No. | Particular | Yes/No |
|---------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |

| | | Table | I - Sumi | mary S | tatement h | olding of | f specified se | curities | | | |
|--------------|---|----------------------|------------------------|---------------------------------|------------------------------------|---------------------------|--|---|---------------|-----------|-------------------------------|
| | | | No. of fully | No. Of Partly | No. Of | Total nos. | Shareholding as a % of total no. of | Number of Voting Rights held in each class of securities (IX) | | | |
| Category (I) | Category of shareholder | Nos. Of shareholders | paid up equity | paid- up | shares underlying Depository | shares held (VII) = | shares (calculated as | shares (calculated as No of Voting (XI | | V) Rights | T-4-1 |
| | (II) | (III) | shares held (IV) | equity shares held (V) | Receipts (VI) | (IV)+ (V)+ (VI) | per SCRR, 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Class eg:y | Total | Total as a % of (A+B+C) |
| (A) | Promoter & Promoter Group | 15 | 7262600 | | | 7262600 | 74.97 | 7262600 | | 7262600 | 74.97 |
| (B) | Public | 1831 | 2424600 | | | 2424600 | 25.03 | 2424600 | | 2424600 | 25.03 |
| (C) | Non Promoter- Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 1846 | 9687200 | | | 9687200 | 100 | 9687200 | | 9687200 | 100 |

| | | Table I | - Summary | Statement l | nolding of spec | ified | securiti | es | | |
|----------|---|--|---------------------------------|---|--|--|--|-------------------------|--|---|
| Category | Category of | No. Of Shares Underlying Underlying Underlying Underlying Underlying Underlying Underlying Outstanding Outstanding | | assuming full Locked in conversion of shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares | | |
| (I) | shareholder (II) | Outstanding convertible securities (X) | Outstanding Warrants (Xi) | convertible securities and No. Of Warrants (Xi) (a) | percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | No. | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | held in dematerialized form (XIV) |
| (A) | Promoter & Promoter Group | | | | 74.97 | | | | | 7262600 |
| (B) | Public | | | | 25.03 | | | | | 1273995 |
| (C) | Non Promoter- Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 8536595 |

| | | | No. of | No. Of | No. Of | Total nos. | Shareholding as a % of total no. of | Number of Voting Rights hel each class of securities (IX) | | | in |
|---|---|----------------------------------|----------------------------|---------------------------------|------------------------------------|---------------------------|--|--|---------------|----------|---------------------------------|
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | fully paid up equity | Partly paid- up equity | shares underlying Depository | shares held (VII) = | shares (calculated as per | No of Voting (XIV) Rights | |) Rights | Total as a % |
| | Shareholders (1) | (III) | shares held (IV) | shares held (V) | Receipts (VI) | (IV)+ (V)+ (VI) | SCRR, 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Class eg:y | Total | of Total Voting rights |
| A | Table II - Statemen | t showing share | cholding patt | ern of the | Promoter and | d Promoter | Group | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 15 | 7262600 | | | 7262600 | 74.97 | 7262600 | | 7262600 | 74.97 |
| Sub-Total (A)(1) | | 15 | 7262600 | | | 7262600 | 74.97 | 7262600 | | 7262600 | 74.97 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2) | | 15 | 7262600 | | | 7262600 | 74.97 | 7262600 | | 7262600 | 74.97 |
| В | Table III - Statemen | nt showing shar | eholding pat | ttern of th | e Public share | holder | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 1751 | 1314110 | | | 1314110 | 13.57 | 1314110 | | 1314110 | 13.57 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 10 | 434484 | | | 434484 | 4.49 | 434484 | | 434484 | 4.49 |
| (e) | Any Other (specify) | 70 | 676006 | | | 676006 | 6.98 | 676006 | | 676006 | 6.98 |
| Sub-Total (B)(3) | | 1831 | 2424600 | | | 2424600 | 25.03 | 2424600 | | 2424600 | 25.03 |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3) | | 1831 | 2424600 | | | 2424600 | 25.03 | 2424600 | | 2424600 | 25.03 |
| С | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 1846 | 9687200 | | | 9687200 | 100 | 9687200 | | 9687200 | 100 |
| Total (A+B+C) | | 1846 | 9687200 | | | 9687200 | 100 | 9687200 | | 9687200 | 100 |

| Sr. | Sr. Shares Underlying Outstanding convertible securities (X) Share Underlying Outstanding Convertible Securities (X) (Xi) | No. of Shares Underlying | No. Of Shares Underlying Outstanding convertible | Shareholding, as a % assuming full conversion of convertible securities (as a | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in |
|--|---|---------------------------------|---|---|--|---------------------------------|--|---------------------------------|---------------------------------|
| on. | | Outstanding Warrants (Xi) | securities and No. Of Warrants (Xi) (a) | percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | dematerialized form (XIV) |
| A | Table II - State | ement showing s | shareholding patte | ern of the Promoter ar | nd Proi | noter Gro | up | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 74.97 | | | | | 7262600 |
| Sub-Total (A) (1) | | | | 74.97 | | | | | 7262600 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 74.97 | | | | | 7262600 |
| В | Table III - Stat | ement showing | shareholding patt | ern of the Public shar | eholde | er | | | - |
| (1) | Institutions | | | | | | | | |
| (3) | Non-institution | ıs | | | | | | | |
| (a(i)) | | | | 13.57 | | | | | 292105 |
| (a(ii)) | | | | 4.49 | | | | | 346184 |
| (e) | | | | 6.98 | | | | | 635706 |
| Sub-Total (B) (3) | | | | 25.03 | | | | | 1273995 |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3) | | | | 25.03 | | | | | 1273995 |
| С | Table IV - Stat | ement showing | shareholding patt | ern of the Non Promo | oter- N | on Public | shareh | older | |
| Total (A+B+C2) | | | | 100 | | | | | 8536595 |
| Total (A+B+C) | | | | 100 | | | | | 8536595 |

| | | Inc | lividuals/Hind | lu undivided | Family | | |
|--|---------------------|-------------------|------------------|-------------------|----------------------------|------------------------------|----------------------------------|
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Name of the Shareholders (I) | ASHOK BHARADIA | ANIL JAIN | DEEPAK LAHOTI | MANOJ BHARADIA | DEEPA SUNIL BHARADIA | VANDANA MANOJ BHARADIA | VIJAY SHYAMSUNDAI BHARADIA |
| PAN (II) | AAAPA7565N | AAAPJ8136G | ABUPL8085F | AEYPB1015J | AEKPB3833L | ACLPB7074J | AEKPB8048M |
| No. of fully paid up equity shares held (IV) | 1200010 | 1225010 | 318010 | 787840 | 368910 | 444500 | 50000 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1200010 | 1225010 | 318010 | 787840 | 368910 | 444500 | 50000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 12.39 | 12.65 | 3.28 | 8.13 | 3.81 | 4.59 | 0.52 |
| Number of Vo | ting Rights held in | each class of sec | curities (IX) | | | | |
| Class eg:X | 1200010 | 1225010 | 318010 | 787840 | 368910 | 444500 | 50000 |
| Class eg:y | | | | | | | |
| Total | 1200010 | 1225010 | 318010 | 787840 | 368910 | 444500 | 50000 |
| Total as a % of Total Voting rights | 12.39 | 12.65 | 3.28 | 8.13 | 3.81 | 4.59 | 0.52 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) | 12.39 | 12.65 | 3.28 | 8.13 | 3.81 | 4.59 | 0.52 |

| As a % of (A+B+C2) | | | | | | | | | | |
|---|----------------------------------|-----------------|--------------|--------|--------|--------|-------|--|--|--|
| Number of Loc | Number of Locked in shares (XII) | | | | | | | | | |
| No. (a) | | | | | | | | | | |
| As a % of total Shares held (b) | | | | | | | | | | |
| Number of Sha | ares pledged or o | otherwise encum | bered (XIII) | | | | | | | |
| No. (a) | | | | | | | | | | |
| As a % of total Shares held (b) | | | | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 1200010 | 1225010 | 318010 | 787840 | 368910 | 444500 | 50000 | | | |
| Reason for not | providing PAN | | | | | | | | | |
| Reason for not providing PAN | | | | | | | | | | |

| | |] | Individuals/Hi | ndu undivided F | amily | | |
|--|---------------------|-----------------------|----------------------|-------------------------|----------------------|--------------------------------------|-------------------------|
| Searial No. | 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| Name of the Shareholders (I) | ANJALI ANIL JAIN | ANNAPURNA BHARADIA | SANGEETA BHARADIA | RADHAKISHAN BHARADIA | RAMKUMAR BHARADIA | RADHA KISHAN BHARADIA (HUF) | SHYAMSUNDER BHARADIA |
| PAN (II) | AACPJ4995P | AAEPB5848F | AEMPB0868B | AABPB6125E | AABPB6124F | AACHB1715R | AABPB6123C |
| No. of fully paid up equity shares held (IV) | 947510 | 147830 | 841910 | 100000 | 336100 | 95000 | 299970 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 947510 | 147830 | 841910 | 100000 | 336100 | 95000 | 299970 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 9.78 | 1.53 | 8.69 | 1.03 | 3.47 | 0.98 | 3.1 |
| Number of Vo | ting Rights held | in each class of sec | curities (IX) | | | | |
| Class eg:X | 947510 | 147830 | 841910 | 100000 | 336100 | 95000 | 299970 |
| Class eg:y | | | | | | | |
| Total | 947510 | 147830 | 841910 | 100000 | 336100 | 95000 | 299970 |
| Total as a % of Total Voting rights | 9.78 | 1.53 | 8.69 | 1.03 | 3.47 | 0.98 | 3.1 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= | 9.78 | 1.53 | 8.69 | 1.03 | 3.47 | 0.98 | 3.1 |

| (VII)+(Xi)(a) As a % of (A+B+C2) | | | | | | | | | | |
|---|----------------------------------|------------------|------------|--------|--------|-------|--------|--|--|--|
| Number of Loc | Number of Locked in shares (XII) | | | | | | | | | |
| No. (a) | | | | | | | | | | |
| As a % of total Shares held (b) | | | | | | | | | | |
| Number of Sha | ares pledged or o | therwise encumbe | red (XIII) | | | | | | | |
| No. (a) | | | | | | | | | | |
| As a % of total Shares held (b) | | | | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 947510 | 147830 | 841910 | 100000 | 336100 | 95000 | 299970 | | | |
| Reason for not | Reason for not providing PAN | | | | | | | | | |
| Reason for not providing PAN | | | | | | | | | | |

| | Individuals/Hindu undivid | ed Family |
|--|--|-----------------------|
| Searial No. | 15 | |
| Name of the Shareholders (I) | SHYAM SUNDER BHARADIA (HUF) | Click here to go back |
| PAN (II) | AAAHB2448B | Total |
| No. of fully paid up equity shares held (IV) | 100000 | 7262600 |
| No. Of Partly paid- up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 100000 | 7262600 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.03 | 74.97 |
| Number of Voting | Rights held in each class of securities (IX) | |
| Class eg:X | 100000 | 7262600 |
| Class eg:y | | |
| Total | 100000 | 7262600 |
| Total as a % of Total Voting rights | 1.03 | 74.97 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2) | 1.03 | 74.97 |
| Number of Locked | in shares (XII) | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of Shares | pledged or otherwise encumbered (XIII) | |

| No. (a) | | |
|--|------------|---------|
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 100000 | 7262600 |
| Reason for not pro | viding PAN | |
| Reason for not providing PAN | | |

| | | | Any Other (sp | ecify) | | | |
|--|---------------------|----------------|--|---------------------|--|----------------|------------------------|
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Category | Clearing Members | HUF | HUF | Bodies Corporate | Bodies Corporate | NRI – Repat | NRI – Non- Repat |
| Category / More than 1 percentage | Category | Category | More than 1 percentage of shareholding | Category | More than 1 percentage of shareholding | Category | Category |
| Name of the Shareholders (I) | | | MISHRILAL.H.BANG | | BANG SECURITIES PVT.LTD. | | |
| PAN (II) | | | AAFHM0265A | | AAACB2269D | | |
| No. of the Shareholders (I) | 1 | 35 | 1 | 26 | 1 | 7 | 1 |
| No. of fully paid up equity shares held (IV) | 800 | 375104 | 215000 | 297724 | 185000 | 2377 | 1 |
| No. Of Partly paid- up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 800 | 375104 | 215000 | 297724 | 185000 | 2377 | 1 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.01 | 3.87 | 2.22 | 3.07 | 1.91 | 0.02 | 0 |
| Number of Voting | Rights held in | n each class o | of securities (IX) | | | | |
| Class eg: X | 800 | 375104 | 215000 | 297724 | 185000 | 2377 | 1 |
| Class eg:y | | | | |] | | |
| Total | 800 | 375104 | 215000 | 297724 | 185000 | 2377 | 1 |
| Total as a % of Total Voting rights | 0.01 | 3.87 | 2.22 | 3.07 | 1.91 | 0.02 | 0 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of | 0.01 | 3.87 | 2.22 | 3.07 | 1.91 | 0.02 | 0 |

| diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | | | | | | | |
|---|-----|--------|--------|--------|--------|------|---|
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 800 | 365104 | 215000 | 267424 | 185000 | 2377 | 1 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

| Any Other (specify) | | | |
|---|------------------------|--|--|
| Searial No. | | | |
| Category | | | |
| Category / More than 1 percentage | | | |
| Name of the Shareholders (I) | Click here to go back | | |
| PAN (II) | Total | | |
| No. of the Shareholders (I) | 70 | | |
| No. of fully paid up equity shares held (IV) | 676006 | | |
| No. Of Partly paid- up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 676006 | | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 6.97 | | |
| Number of Voting Rights held in each cl | ass of securities (IX) | | |
| Class eg: X | 676006 | | |
| Class eg:y | | | |
| Total | 676006 | | |
| Total as a % of Total Voting rights | 6.97 | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of | 6.97 | | |

| Number of Locked in shares (XII) | | | |
|--|--------|--|--|
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 635706 | | |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |