| General information about company |  |
| :--- | :--- |
| Scrip code | 532053 |
| Name of the company | WALLFORT FINANCIAL SERVICES <br> LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | $30-09-2017$ |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing <br> Date |  |
| Shareholding pattern filed under | Regulation 31 (1) (b) |


| Sr. No. | Particular | Yes/No |
| :--- | :--- | :--- |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |


| Table I - Summary Statement holding of specified securities |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Category <br> (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) $=$ (IV) $+(\mathrm{V})+$ (VI) | Shareholding as a \% of total no. of shares (calculated as per SCRR, 1957) (VIII) As a \% of$(\mathrm{A}+\mathrm{B}+\mathrm{C} 2)$ | Number of Voting Rights held in each class of securities (IX) |  |  |  |
|  |  |  |  |  |  |  |  | No of Voting (XIV) Rights |  |  | Total as a \% of$(A+B+C)$ |
|  |  |  |  |  |  |  |  | Class eg: X | $\begin{aligned} & \text { Class } \\ & \text { eg:y } \end{aligned}$ | Total |  |
| (A) |  <br> Promoter Group | 14 | 7262600 |  |  | 7262600 | 74.97 | 7262600 |  | 7262600 | 74.97 |
| (B) | Public | 1970 | 2424600 |  |  | 2424600 | 25.03 | 2424600 |  | 2424600 | 25.03 |
| (C) | Non <br> Promoter- <br> Non Public |  |  |  |  |  |  |  |  |  |  |
| (C1) | Shares underlying DRs |  |  |  |  |  |  |  |  |  |  |
| (C2) | Shares held by Employee Trusts |  |  |  |  |  |  |  |  |  |  |
|  | Total | 1984 | 9687200 |  |  | 9687200 | 100 | 9687200 |  | 9687200 | 100 |


| Table I - Summary Statement holding of specified securities |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Category <br> (I) | Category of shareholder (II) | No. Of <br> Shares <br> Underlying <br> Outstanding <br> convertible <br> securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding, as a \% assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a \% of $(\mathrm{A}+\mathrm{B}+\mathrm{C} 2)$ | Number of Locked in shares (XII) |  | Number of Shares pledged or otherwise encumbered (XIII) |  | Number of equity shares held in dematerialized form (XIV) |
|  |  |  |  |  |  | No. <br> (a) | As a \% of total Shares held (b) | No. <br> (a) | As a \% of total Shares held (b) |  |
| (A) |  <br> Promoter <br> Group |  |  |  | 74.97 |  |  |  |  | 7262600 |
| (B) | Public |  |  |  | 25.03 |  |  |  |  | 1338995 |
| (C) | Non <br> Promoter- <br> Non Public |  |  |  |  |  |  |  |  |  |
| (C1) | Shares underlying DRs |  |  |  |  |  |  |  |  |  |
| (C2) | Shares held by Employee Trusts |  |  |  |  |  |  |  |  |  |
|  | Total |  |  |  | 100 |  |  |  |  | 8601595 |


| Sr. | Category \& Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paidup equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = <br> (IV) + (V) + <br> (VI) | Shareholding as a \% of total no. of shares (calculated as per SCRR, 1957) (VIII) As a \% of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | Number of Voting Rights held in each class of securities (IX) |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  | No of Voti | (X | Rights | Total as a \% |
|  |  |  |  |  |  |  |  | $\begin{aligned} & \text { Class eg: } \\ & \text { X } \end{aligned}$ | $\begin{aligned} & \text { Class } \\ & \text { eg:y } \end{aligned}$ | Total | Total Voting rights |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group |  |  |  |  |  |  |  |  |  |  |
| (1) | Indian |  |  |  |  |  |  |  |  |  |  |
| (a) | Individuals/Hindu undivided Family | 14 | 7262600 |  |  | 7262600 | 74.97 | 7262600 |  | 7262600 | 74.97 |
| Sub-Total $(\mathrm{A})(1)$ |  | 14 | 7262600 |  |  | 7262600 | 74.97 | 7262600 |  | 7262600 | 74.97 |
| (2) | Foreign |  |  |  |  |  |  |  |  |  |  |
| Total <br> Shareholding of Promoter and Promoter Group $\begin{aligned} & (\mathrm{A})=(\mathrm{A}) \\ & (1)+(\mathrm{A})(2) \end{aligned}$ |  | 14 | 7262600 |  |  | 7262600 | 74.97 | 7262600 |  | 7262600 | 74.97 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder |  |  |  |  |  |  |  |  |  |  |
| (1) | Institutions |  |  |  |  |  |  |  |  |  |  |
| ( 3 ) | Non-institutions |  |  |  |  |  |  |  |  |  |  |
| (a(i)) | Individuals i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 1856 | 1425521 |  |  | 1425521 | 14.72 | 1425521 |  | 1425521 | 14.72 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 9 | 309900 |  |  | 309900 | 3.2 | 309900 |  | 309900 | 3.2 |
| (e) | Any Other (specify) | 105 | 689179 |  |  | 689179 | 7.11 | 689179 |  | 689179 | 7.11 |
| Sub-Total (B)(3) |  | 1970 | 2424600 |  |  | 2424600 | 25.03 | 2424600 |  | 2424600 | 25.03 |
| Total Public Shareholding $\begin{aligned} & (\mathrm{B})=(\mathrm{B}) \\ & (1)+(\mathrm{B}) \\ & (2)+(\mathrm{B})(3) \end{aligned}$ |  | 1970 | 2424600 |  |  | 2424600 | 25.03 | 2424600 |  | 2424600 | 25.03 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder |  |  |  |  |  |  |  |  |  |  |
| $\begin{aligned} & \text { Total ( } \\ & \mathrm{A}+\mathrm{B}+\mathrm{C} 2 \text { ) } \end{aligned}$ |  | 1984 | 9687200 |  |  | 9687200 | 100 | 9687200 |  | 9687200 | 100 |
| Total $(A+B+C)$ |  | 1984 | 9687200 |  |  | 9687200 | 100 | 9687200 |  | 9687200 | 100 |


| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding, as a \% assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a \% of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | Number of Locked in shares (XII) |  | Number of <br> Shares <br> pledged or <br> otherwise <br> encumbered <br> (XIII) |  | Number of equity shares held in dematerialized form (XIV) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  | $\begin{aligned} & \text { No. } \\ & \text { (a) } \end{aligned}$ | As a \% of total Shares held (b) | $\begin{aligned} & \text { No. } \\ & \text { (a) } \end{aligned}$ | As a \% of total Shares held (b) |  |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group |  |  |  |  |  |  |  |  |
| (1) | Indian |  |  |  |  |  |  |  |  |
| (a) |  |  |  | 74.97 |  |  |  |  | 7262600 |
| Sub-Total $(\mathrm{A})(1)$ |  |  |  | 74.97 |  |  |  |  | 7262600 |
| (2) | Foreign |  |  |  |  |  |  |  |  |
| Total <br> Shareholding of <br> Promoter and <br> Promoter <br> Group (A)=(A) <br> (1)+(A)(2) |  |  |  | 74.97 |  |  |  |  | 7262600 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder |  |  |  |  |  |  |  |  |
| (1) | Institutions |  |  |  |  |  |  |  |  |
| (3) | Non-institutions |  |  |  |  |  |  |  |  |
| (a(i)) |  |  |  | 14.72 |  |  |  |  | 428216 |
| (a(ii)) |  |  |  | 3.2 |  |  |  |  | 221600 |
| (e) |  |  |  | 7.11 |  |  |  |  | 689179 |
| Sub-Total (B)(3) |  |  |  | 25.03 |  |  |  |  | 1338995 |
| Total Public <br> Shareholding $\begin{aligned} & (\mathrm{B})=(\mathrm{B})(1)+(\mathrm{B}) \\ & (2)+(\mathrm{B})(3) \end{aligned}$ |  |  |  | 25.03 |  |  |  |  | 1338995 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder |  |  |  |  |  |  |  |  |
| $\begin{aligned} & \text { Total ( } \\ & \mathrm{A}+\mathrm{B}+\mathrm{C} 2 \text { ) } \end{aligned}$ |  |  |  | 100 |  |  |  |  | 8601595 |
| Total ( $\mathrm{A}+\mathrm{B}+\mathrm{C}$ ) |  |  |  | 100 |  |  |  |  | 8601595 |


| Individuals/Hindu undivided Family |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Name of the Shareholders (I) | ASHOK <br> BHARADIA | ANIL JAIN | DEEPAK <br> LAHOTI | MANOJ BHARADIA | DEEPA <br> SUNIL <br> BHARADIA | VANDANA <br> MANOJ BHARADIA | VIJAY <br> SHYAMSUNDAR <br> BHARADIA |
| PAN (II) | AAAPA7565N | AAAPJ8136G | ABUPL8085F | AEYPB1015J | AEKPB3833L | ACLPB7074J | AEKPB8048M |
| No. of fully paid up equity shares held (IV) | 1200010 | 1000000 | 318010 | 1960360 | 368910 | 444500 | 50000 |
| No. Of Partly paidup equity shares held (V) |  |  |  |  |  |  |  |
| No. Of shares underlying Depository Receipts (VI) |  |  |  |  |  |  |  |
| Total nos. shares held (VII) = $(\mathrm{IV})+(\mathrm{V})+(\mathrm{VI})$ | 1200010 | 1000000 | 318010 | 1960360 | 368910 | 444500 | 50000 |
| Shareholding as a \% of total no. of shares (calculated as per SCRR, 1957) (VIII) As a $\%$ of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | 12.39 | 10.32 | 3.28 | 20.24 | 3.81 | 4.59 | 0.52 |
| Number of Voting Rights held in each class of securities (IX) |  |  |  |  |  |  |  |
| Class eg:X | 1200010 | 1000000 | 318010 | 1960360 | 368910 | 444500 | 50000 |
| Class eg:y |  |  |  |  |  |  |  |
| Total | 1200010 | 1000000 | 318010 | 1960360 | 368910 | 444500 | 50000 |
| Total as a \% of Total Voting rights | 12.39 | 10.32 | 3.28 | 20.24 | 3.81 | 4.59 | 0.52 |
| No. Of Shares Underlying Outstanding convertible securities (X) |  |  |  |  |  |  |  |
| No. of Shares Underlying Outstanding Warrants (Xi) |  |  |  |  |  |  |  |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) <br> (a) |  |  |  |  |  |  |  |
| Shareholding, as a \% assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a \% of (A+B+C2) | 12.39 | 10.32 | 3.28 | 20.24 | 3.81 | 4.59 | 0.52 |
| Number of Locked in shares (XII) |  |  |  |  |  |  |  |
| No. (a) |  |  |  |  |  |  |  |
| As a \% of total Shares held (b) |  |  |  |  |  |  |  |

Number of Shares pledged or otherwise encumbered (XIII)
No. (a)
As a \% of total
Shares held (b)
Number of equity

| Number of equity <br> shares held in <br> dematerialized | 1200010 | 1000000 | 318010 | 1960360 | 368910 | 444500 | 50000 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | form (XIV)

Reason for not providing PAN
Reason for not
providing PAN

| Individuals/Hindu undivided Family |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Searial No. | 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| Name of the Shareholders <br> (I) | ANNAPURNA BHARADIA | SANGEETA BHARADIA | RADHAKISHAN BHARADIA | RAMKUMAR BHARADIA | RADHA <br> KISHAN <br> BHARADIA <br> (HUF) | SHYAMSUNDER BHARADIA | SHYAM <br> SUNDER BHARADIA (HUF) |
| PAN (II) | AAEPB5848F | AEMPB0868B | AABPB6125E | AABPB6124F | AACHB1715R | AABPB6123C | AAAHB2448B |
| No. of fully paid up equity shares held (IV) | 147830 | 841910 | 100000 | 336100 | 95000 | 299970 | 100000 |
| No. Of Partly paid-up equity shares held (V) |  |  |  |  |  |  |  |
| No. Of shares underlying Depository Receipts (VI) |  |  |  |  |  |  |  |
| Total nos. shares held (VII) $=$ <br> (IV) $+(\mathrm{V})+$ <br> (VI) | 147830 | 841910 | 100000 | 336100 | 95000 | 299970 | 100000 |
| Shareholding as a \% of total no. of shares (calculated as per SCRR, 1957) (VIII) As a \% of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | 1.53 | 8.69 | 1.03 | 3.47 | 0.98 | 3.1 | 1.03 |
| Number of Voting Rights held in each class of securities (IX) |  |  |  |  |  |  |  |
| Class eg:X | 147830 | 841910 | 100000 | 336100 | 95000 | 299970 | 100000 |
| Class eg:y |  |  |  |  |  |  |  |
| Total | 147830 | 841910 | 100000 | 336100 | 95000 | 299970 | 100000 |
| Total as a \% of Total Voting rights | 1.53 | 8.69 | 1.03 | 3.47 | 0.98 | 3.1 | 1.03 |
| No. Of Shares Underlying Outstanding convertible securities (X) |  |  |  |  |  |  |  |
| No. of Shares Underlying Outstanding Warrants (Xi) |  |  |  |  |  |  |  |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) |  |  |  |  |  |  |  |
| Shareholding , as a \% assuming full conversion of convertible securities (as a percentage of diluted | 1.53 | 8.69 | 1.03 | 3.47 | 0.98 | 3.1 | 1.03 |

share capital)
(XI)= (VII) +
(Xi)(a) As a \%
of $(\mathrm{A}+\mathrm{B}+\mathrm{C} 2)$
Number of Locked in shares (XII)
No. (a)
As a \% of
total Shares
held (b)
Number of Shares pledged or otherwise encumbered (XIII)
No. (a)
As a \% of total Shares
held (b)
$\begin{array}{lllllll}\begin{array}{l}\text { Number of } \\ \text { equity shares }\end{array} & 147830 & 841910 & 100000 & 336100 & 95000 & 299970\end{array}$
Reason for
not providing
PAN

|  | Individuals/Hindu undivided Family |
| :---: | :---: |
| Searial No. |  |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of fully paid up equity shares held (IV) | 7262600 |
| No. Of Partly paidup equity shares held (V) |  |
| No. Of shares underlying Depository Receipts (VI) |  |
| Total nos. shares held (VII) = (IV) $+(\mathrm{V})+$ (VI) | 7262600 |
| Shareholding as a \% of total no. of shares (calculated as per SCRR, 1957) (VIII) As a $\%$ of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | 74.97 |
| Number of Voting | ights held in each class of securities (IX) |
| Class eg:X | 7262600 |
| Class eg:y |  |
| Total | 7262600 |
| Total as a \% of Total Voting rights | 74.97 |
| No. Of Shares Underlying Outstanding convertible securities (X) |  |
| No. of Shares Underlying Outstanding Warrants (Xi) |  |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) <br> (a) |  |
| Shareholding, as a \% assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a \% of (A+B+C2) | 74.97 |
| Number of Locked in shares (XII) |  |
| No. (a) |  |
| As a \% of total Shares held (b) |  |
| Number of Shares pledged or otherwise encumbered (XIII) |  |

## No. (a)

As a \% of total
Shares held (b)
Number of equity
shares held in 7262600
dematerialized
form (XIV)
Reason for not providing PAN
Reason for not
providing PAN

| Any Other (specify) |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Category | NRI - <br> Repat | NRI - Non- <br> Repat | Clearing <br> Members | HUF | HUF | Bodies <br> Corporate | Bodies Corporate |
| Category / More than 1 percentage | Category | Category | Category | Category | More than 1 percentage of shareholding | Category | More than 1 percentage of shareholding |
| Name of the Shareholders (I) |  |  |  |  | MISHRILAL.H.BANG |  | BANG SECURITIES PVT.LTD. |
| PAN (II) |  |  |  |  | AAFHM0265A |  | AAACB2269D |
| No. of the Shareholders (I) | 8 | 2 | 15 | 33 | 1 | 47 | 1 |
| No. of fully paid up equity shares held (IV) | 13042 | 2925 | 5117 | 370254 | 215000 | 297841 | 185000 |
| No. Of Partly paidup equity shares held (V) |  |  |  |  |  |  |  |
| No. Of shares underlying Depository Receipts (VI) |  |  |  |  |  |  |  |
| Total nos. shares held (VII) = (IV) $+(\mathrm{V})+(\mathrm{VI})$ | 13042 | 2925 | 5117 | 370254 | 215000 | 297841 | 185000 |
| Shareholding as a \% of total no. of shares (calculated as per SCRR, 1957) (VIII) As a \% of (A+B+C2) | 0.13 | 0.03 | 0.05 | 3.82 | 2.22 | 3.07 | 1.91 |
| Number of Voting Rights held in each class of securities (IX) |  |  |  |  |  |  |  |
| Class eg: X | 13042 | 2925 | 5117 | 370254 | 215000 | 297841 | 185000 |
| Class eg:y |  |  |  |  |  |  |  |
| Total | 13042 | 2925 | 5117 | 370254 | 215000 | 297841 | 185000 |
| Total as a \% of Total Voting rights | 0.13 | 0.03 | 0.05 | 3.82 | 2.22 | 3.07 | 1.91 |
| No. Of Shares Underlying Outstanding convertible securities (X) |  |  |  |  |  |  |  |
| No. of Shares Underlying Outstanding Warrants (Xi) |  |  |  |  |  |  |  |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) <br> (a) |  |  |  |  |  |  |  |
| Shareholding, as a \% assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a \% | 0.13 | 0.03 | 0.05 | 3.82 | 2.22 | 3.07 | 1.91 |

of $(A+B+C 2)$
Number of Locked in shares (XII)
No. (a)
As a \% of total
Shares held (b)
Number of equity
 form (XIV)

Reason for not providing PAN
Reason for not
providing PAN

| Any Other (specify) |  |
| :---: | :---: |
| Searial No. |  |
| Category |  |
| Category / More than 1 percentage |  |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of the Shareholders (I) | 105 |
| No. of fully paid up equity shares held (IV) | 689179 |
| No. Of Partly paidup equity shares held (V) |  |
| No. Of shares underlying <br> Depository Receipts (VI) |  |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 689179 |
| Shareholding as a \% of total no. of shares (calculated as per SCRR, 1957) (VIII) As a \% of (A+B+C2) | 7.1 |
| Number of Voting Rights held in each class of securities (IX) |  |
| Class eg: X | 689179 |
| Class eg:y |  |
| Total | 689179 |
| Total as a \% of Total Voting rights | 7.1 |
| No. Of Shares Underlying Outstanding convertible securities (X) |  |
| No. of Shares Underlying Outstanding Warrants (Xi) |  |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) |  |
| Shareholding, as a \% assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a \% of $(\mathrm{A}+\mathrm{B}+\mathrm{C} 2)$ | 7.1 |
| Number of Locked in shares (XII) |  |

## No. (a)

As a \% of total
Shares held (b)
Number of equity
shares held in 689179
dematerialized
form (XIV)
Reason for not providing PAN
Reason for not
providing PAN

