



**WALLFORT**  
Financial Services Ltd.

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15<sup>th</sup> April 2015

To,  
Listing Department  
Bombay Stock Exchange Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai 400 001

Sir,

Sub: Corporate Governance Report For The Quarter Ended 31<sup>st</sup> March 2015.

Pursuant to Clause 49 of Listing Agreement please find enclosed the Corporate Governance Report as on 31<sup>st</sup> March 2015.

Kindly take the above on your record.

Thanking You,

Yours faithfully

for Wallfort Financial Services Limited

*Ashok Bharadia*

Ashok Bharadia  
Managing Director  
(DIN- 00407830)





**WALLFORT**  
Financial Services Ltd.

**Format of Quarterly Compliance Report on Corporate Governance under Clause 49 of the Listing Agreement**

**Name of the Company: Wallfort Financial Services Limited**

**Quarter ending on: 31<sup>st</sup> March 2015**

| Particulars   | Clause of Listing Agreement | Compliance Status Yes/No | Remarks |
|---|-----------------------------|--------------------------|---------|
| <b>II Board of Directors</b>                            | 49 II                       |                          | --      |
| (A) Composition of Board                                | 49(IIA)                     | Yes                      | --      |
| (B) Independent Directors                               | 49(IIB)                     | Yes                      | --      |
| (C) Non-executive Directors' Compensation & Disclosures | 49 (IIC)                    | Yes                      | --      |
| (D) Other Provisions as to Board and Committees         | 49 (IID)                    | Yes                      | --      |
| (E) Code of Conduct                                     | 49 (IIE)                    | Yes                      | --      |
| (F) Whistle Blower Policy                               | 49(IIF)                     | Yes                      | --      |
| <b>III. Audit Committee</b>                             | 49 (III)                    |                          | --      |
| (A) Qualified & Independent Audit Committee             | 49 (IIIA)                   | Yes                      | --      |
| (B) Meeting of Audit Committee                          | 49 (IIIB)                   | Yes                      | --      |
| (C) Powers of Audit Committee                           | 49 (IIIC)                   | Yes                      | --      |
| (D) Role of Audit Committee                             | 49 (IIID)                   | Yes                      | --      |
| (E) Review of Information by Audit Committee            | 49 (IIIE)                   | Yes                      | --      |
| <b>IV. Nomination and Remuneration Committee</b>        | 49 (IV)                     | Yes                      | --      |
| <b>V. Subsidiary Companies</b>                          | 49 (V)                      | NA                       | --      |
| <b>VI. Risk Management</b>                              | 49 (VI)                     | Yes                      | --      |
| <b>VII. Related Party Transactions</b>                  | 49(VII)                     | NA                       | --      |
| <b>VIII. Disclosures</b>                                | 49 (VIII)                   | Yes                      | --      |
| (A) Related Party Transactions                          | 49 (VIII A)                 | NA                       | --      |
| (B) Disclosure of Accounting Treatment                  | 49 (VIII B)                 | Yes                      | --      |
| (C) Remuneration of Directors                           | 49 (VIII C)                 | Yes                      | --      |



**WALLFORT**  
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|   |             |     |  |
|---|-------------|-----|--|
| (D) Management  | 49 (VIII D) | Yes |  |
| (E) Shareholders  | 49 (VIII E) | Yes | --                                       |
| (F) Proceeds from public issues, rights issue, preferential issues, etc | 49 (VIII F) | NA  | --                                       |
| IX. CEO/CFO Certification   | 49 (IX)     | --  | To be included in the next Annual Report |
| X. Report on Corporate Governance                                       | 49 (X)      | --  | To be included in the next Annual Report |
| XI. Compliance  | 49 (XI)     | --  | To be included in the next Annual Report |

*for Wallfort Financial Services Limited*

*Ashok Bharadia*  
Ashok Bharadia

Managing Director  
(DIN- 00407830)  
Place: Mumbai  
Date: 15/04/2015

