

April 7, 2014

BSE Limited

Corporate Relations Department, Phiroze Jeejeebhoy Towers **Dalal Street** Mumbai- 400001

Scrip Code: 532053

SUB: CORPORATE GOVERNANCE REPORT FOR THE QUARTER ENDED MARCH 31, 2014

Dear Sir/Madam,

Pursuant to Clause 49 of Listing Agreement please find enclosed herewith the Corporate Governance Report for the Quarter ended March 31, 2014.

Kindly take the above on your record.

Thanking You,

Yours faithfully, For, Wallfort Financial Services Limited

Authorized Signatory

Encl: As stated above.



QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE UNDER CLAUSE 49 OF THE LISTING AGREEMENT

Name of the Company

WALLFORT FINANCIAL SERVICES LIMITED

Quarter ended as on March 31, 2014

| Particulars | Clause of | Compliance | Remarks |
|----------------------------------|-----------|------------|---------|
| | Listing | Status | |
| i i | Agreement | (Yes/No) | |
| 1 | 2 | 3 | 4 |
| (1) Board of Directors | 49 I | | |
| (A) Composition of the Board | 49 (IA) | Yes | · |
| (B) Non executive Directors' | 49 (IB) | Yes | |
| compensation and disclosures | | | |
| (c) Other provisions as to Board | 49 (IC) | Yes | |
| and committee | | | |
| (D) Code of conduct | 49 (ID) | Yes | |
| (II) Audit Committee | 49 II | | |
| (A) Qualified and independent | 49(IIA) | Yes | |
| Audit committee | | | |
| (B) Meeting of the Audit | 49(IIB) | Yes | |
| Committee | | | |
| (C) Powers of Audit Committee | 49(IIC) | Yes | |
| (D) Role of Audit Committee | 49(IID) | Yes | |
| (E) Review of the information of | 49(IIE) | Yes | |
| the Audit committee | | | |
| (III) Subsidiary Companies | 49 (III) | N.A. | ~~ |
| (IV) Disclosures | 49 (IV) | | |
| (A) Basis of related party | 49 (IV A) | Yes | |

FW WALLFORT FINANCIAL SERVICES LTD,



DIRECTOR



| transactions | | 5 | | | | |
|------------------------------------|-----------|------|---------------|----|-----|--|
| (B) Disclosure of Accounting | 49(IV B) | Yes | | | | |
| Treatment | | | | | 4 | |
| (C) Board Disclosures | 49 (IV C) | Yes | | | | |
| (D) Proceeds from public issues, | 49 (IV D) | N.A. | | - | | |
| rights issues, preferential issues | | | | | | |
| etc | | | | | | |
| (E) Remuneration of directors | 49(IV E) | Yes | | | | |
| (F) Management | 49 (IVF) | Yes | | | | |
| (G) Shareholders | 49(IVG) | Yes | | | | |
| (V) CEO/ CFO certification | 49(V) | | Included | in | the | |
| 2 | | | Annual Report | | | |
| (VI) Report on Corporate | 49(VI) | | Included | in | the | |
| Governance | | | Annual Report | | | |
| (VII) Compliance | 49 (VII) | | Included | in | the | |
| | | | Annual Report | | | |

For, WALLFORT FINANCIAL SERVICES LIMITED

DIRECTOR

Place: Mumbai Date: 07/04/2014