



# WALLFORT

Financial Services Ltd.

January 09, 2014

**BSE Limited**  
Corporate Relations Department,  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai- 400001

**Scrip Code: 532053**

**SUB: CORPORATE GOVERNANCE REPORT FOR THE QUARTER ENDED  
DECEMBER 31, 2013**

Dear Sir/Madam,

Pursuant to Clause 49 of Listing Agreement please find enclosed herewith the Corporate Governance Report for the Quarter ended December 31, 2013.

Kindly take the above on your record.

Thanking You,

Yours faithfully,  
For, Wallfort Financial Services Limited

**Authorized Signatory**

Encl: As stated above.



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Financial Services Ltd.

## QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE UNDER CLAUSE 49 OF THE LISTING AGREEMENT

**Name of the Company** : **WALLFORT FINANCIAL SERVICES LIMITED**  
**Quarter ended as on** : **December 31, 2013**

Particulars	Clause of Listing Agreement	Compliance Status (Yes/ No)	Remarks
1	2	3	4
<b>(I) Board of Directors</b>	<b>49 I</b>		--
(A) Composition of the Board	49 (IA)	Yes	--
(B) Non executive Directors' compensation and disclosures	49 (IB)	Yes	--
(c) Other provisions as to Board and committee	49 (IC)	Yes	--
(D) Code of conduct	49 (ID)	Yes	--
<b>(II) Audit Committee</b>	<b>49 II</b>		--
(A) Qualified and independent Audit committee	49(IIA)	Yes	--
(B) Meeting of the Audit Committee	49(IIB)	Yes	--
(C) Powers of Audit Committee	49(IIC)	Yes	--
(D) Role of Audit Committee	49(IID)	Yes	--
(E) Review of the information of the Audit committee	49(IIE)	Yes	--



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<b>(III) Subsidiary Companies</b>	49 (III)	N.A.	--
<b>(IV) Disclosures</b>	49 (IV)		--
(A) Basis of related party transactions	49 (IV A)	Yes	--
(B) Disclosure of Accounting Treatment	49(IV B)	Yes	--
(C) Board Disclosures	49 (IV C)	Yes	--
(D) Proceeds from public issues, rights issues, preferential issues etc	49 (IV D)	N.A.	--
(E) Remuneration of directors	49(IV E)	Yes	--
(F) Management	49 (IVF)	Yes	--
(G) Shareholders	49(IVG)	Yes	--
<b>(V) CEO/ CFO certification</b>	49(V)	--	Included in the Annual Report
<b>(VI) Report on Corporate Governance</b>	49(VI)	--	Included in the Annual Report
<b>(VII) Compliance</b>	49 (VII)	--	Included in the Annual Report

For, WALLFORT FINANCIAL SERVICES LIMITED

**DIRECTOR**

Place: Mumbai

Date: 09/01/2014