

General information about company

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|---------------------------------|-------------------------------------|
| Scrip code | 532053 |
| NSE Symbol | NA |
| MSEI Symbol | NA |
| ISIN | INE121B01014 |
| Name of the entity | Wallfort Financial Services Limited |
| Date of start of financial year | 01-04-2018 |
| Date of end of financial year | 31-03-2019 |
| Reporting Quarter | Half Yearly |
| Date of Report | 30-09-2018 |
| Risk management committee | Not Applicable |

| | |
|--|------------------------|
| Annexure I | |
| Annexure I to be submitted by listed entity on quarterly basis | |
| I. Composition of Board of Directors | |
| Disclosure of notes on composition of board of directors explanatory | Textual Information(1) |
| Is there any change in information of board of directors compare to previous quarter | No |

Text Block

Textual Information(1)

There were no changes in the Composition of Board of Directors as compared to previous Quarter. However,

- Mr. Ashok Bharadia (DIN- 00407830), retired by rotation and was re- appointed as Director at the AGM held on 29 Sept, 2018.

- Mr. Ashok Bharadia (DIN- 00407830) , whose terms as Managing Director expires on 27 April, 2019 was re-appointed as Managing Director for a term of 3 years w.e.f 28 April, 2019 at the AGM held on 29 Sept, 2018.

| | |
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| Annexure 1 | |
| II. Composition of Committees | |
| Disclosure of notes on composition of committees explanatory | |
| Is there any change in information of committees compare to previous quarter | No |

| Audit Committee Details | | | | | |
|--------------------------------|------------|---------------------------|-------------------------|-------------------------|---------|
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Remarks |

| Nomination and remuneration committee | | | | | |
|--|------------|---------------------------|-------------------------|-------------------------|---------|
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Remarks |

| Stakeholders Relationship Committee | | | | | |
|--|------------|---------------------------|-------------------------|-------------------------|---------|
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Remarks |

| Risk Management Committee | | | | | |
|----------------------------------|------------|---------------------------|-------------------------|-------------------------|---------|
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Remarks |

| Corporate Social Responsibility Committee | | | | | |
|--|------------|---------------------------|-------------------------|-------------------------|---------|
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Remarks |

| Other Committee | | | | | | |
|------------------------|------------|---------------------------|-------------------------|-------------------------|-------------------------|---------|
| Sr | DIN Number | Name of Committee members | Name of other committee | Category 1 of directors | Category 2 of directors | Remarks |

Annexure 1

Annexure 1

III. Meeting of Board of Directors

| Disclosure of notes on meeting of board of directors explanatory | | | |
|--|---|--|---|
| Sr | Date(s) of meeting (if any) in the previous quarter | Date(s) of meeting (if any) in the current quarter | Maximum gap between any two consecutive (in number of days) |
| 1 | 02-05-2018 | | |
| 2 | 29-05-2018 | | 26 |
| 3 | | 13-07-2018 | 44 |
| 4 | | 14-08-2018 | 31 |

Annexure 1

IV. Meeting of Committees

| Disclosure of notes on meeting of committees explanatory | | | | | | | |
|--|---------------------------------------|---|--|---------------------------|---|--|-------------------------|
| Sr | Name of Committee | Date(s) of meeting of the committee in the relevant quarter | Whether requirement of Quorum met (Yes/No) | Requirement of Quorum met | Date(s) of meeting of the committee in the previous quarter | Maximum gap between any two consecutive meetings (in number of days) | Name of other committee |
| 1 | Audit Committee | 14-08-2018 | Yes | 3 | 29-05-2018 | 76 | |
| 2 | Nomination and remuneration committee | 13-07-2018 | Yes | 3 | 02-05-2018 | 71 | |
| 3 | Stakeholders Relationship Committee | 01-08-2018 | Yes | 3 | 29-05-2018 | 63 | |
| 4 | Stakeholders Relationship Committee | 27-08-2018 | Yes | 3 | | | |
| 5 | Stakeholders Relationship Committee | 10-09-2018 | Yes | 3 | | | |

Annexure 1

V. Related Party Transactions

| Sr | Subject | Compliance status (Yes/No/NA) | If status is "No" details of non-compliance may be given here. |
|----|--|----------------------------------|--|
| 1 | Whether prior approval of audit committee obtained | Yes | |
| 2 | Whether shareholder approval obtained for material RPT | NA | |
| 3 | Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee | Yes | |

Annexure 1

VI. Affirmations

| Sr | Subject | Compliance status (Yes/No) |
|----|--|----------------------------|
| 1 | The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 | Yes |
| 2 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee | Yes |
| 3 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee | Yes |
| 4 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee | Yes |
| 5 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities) | NA |
| 6 | The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | Yes |
| 7 | The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | Yes |
| 8 | This report and/or the report submitted in the previous quarter has been placed before Board of Directors. | Yes |

Annexure 1

| Sr | Subject | Compliance status |
|----|-------------------|---|
| 1 | Name of signatory | Nehal Savala |
| 2 | Designation | Company Secretary and Compliance Office |

Annexure III

Annexure III to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year

I. Affirmations

| Sr | Broad heading | Regulation Number | Compliance status (Yes/No/NA) | If status is "No" details of non-compliance may be given here. |
|----|--|--------------------------------------|-------------------------------|--|
| 1 | Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website | 46(2) | Yes | |
| 2 | Presence of Chairperson of Audit Committee at the Annual General Meeting | 18(1)(d) | Yes | |
| 3 | Presence of Chairperson of the nomination and remuneration committee at the annual general meeting | 19(3) | Yes | |
| 4 | Whether "Corporate Governance Report" disclosed in Annual Report | 34(3) read with para C of Schedule V | Yes | |

Annexure III

| | | |
|---|-------------------|---|
| 1 | Name of signatory | Nehal Savala |
| 2 | Designation | Company Secretary and Compliance Office |

Signatory Details

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|-----------------------|---|
| Name of signatory | Nehal Savala |
| Designation of person | Company Secretary and Compliance Office |
| Place | Mumbai |
| Date | 11-10-2018 |

