



**WALLFORT**  
Financial Services Ltd.

---

15<sup>th</sup> April 2015

To,  
Listing Department  
Bombay Stock Exchange Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai 400 001

Sir,

Sub: Corporate Governance Report For The Quarter Ended 31<sup>st</sup> March 2015.

Pursuant to Clause 49 of Listing Agreement please find enclosed the Corporate Governance Report as on 31<sup>st</sup> March 2015.

Kindly take the above on your record.

Thanking You,

Yours faithfully

for Wallfort Financial Services Limited

*Ashok Bharadia*

Ashok Bharadia  
Managing Director  
(DIN- 00407830)





# WALLFORT

Financial Services Ltd.

## Format of Quarterly Compliance Report on Corporate Governance under Clause 49 of the Listing Agreement

Name of the Company: Wallfort Financial Services Limited

Quarter ending on: 31<sup>st</sup> March 2015

Particulars	Clause of Listing Agreement	Compliance Status Yes/No	Remarks
<b>II Board of Directors</b>	49 II		--
(A) Composition of Board	49(IIA)	Yes	--
(B) Independent Directors	49(IIB)	Yes	--
(C) Non-executive Directors' Compensation & Disclosures	49 (IIC)	Yes	--
(D) Other Provisions as to Board and Committees	49 (IID)	Yes	--
(E) Code of Conduct	49 (IIE)	Yes	--
(F) Whistle Blower Policy	49(IIF)	Yes	--
<b>III. Audit Committee</b>	49 (III)		--
(A) Qualified & Independent Audit Committee	49 (IIIA)	Yes	--
(B) Meeting of Audit Committee	49 (IIIB)	Yes	--
(C) Powers of Audit Committee	49 (IIIC)	Yes	--
(D) Role of Audit Committee	49 (IIID)	Yes	--
(E) Review of Information by Audit Committee	49 (IIIE)	Yes	--
<b>IV. Nomination and Remuneration Committee</b>	49 (IV)	Yes	--
<b>V. Subsidiary Companies</b>	49 (V)	NA	--
<b>VI. Risk Management</b>	49 (VI)	Yes	--
<b>VII. Related Party Transactions</b>	49(VII)	NA	--
<b>VIII. Disclosures</b>	49 (VIII)	Yes	--
(A) Related Party Transactions	49 (VIII A)	NA	--
(B) Disclosure of Accounting Treatment	49 (VIII B)	Yes	--
(C) Remuneration of Directors	49 (VIII C)	Yes	--



**WALLFORT**  
Financial Services Ltd.

(D) Management	49 (VIII D)	Yes	
(E) Shareholders	49 (VIII E)	Yes	--
(F) Proceeds from public issues, rights issue, preferential issues, etc	49 (VIII F)	NA	--
IX. CEO/CFO Certification	49 (IX)	--	To be included in the next Annual Report
X. Report on Corporate Governance	49 (X)	--	To be included in the next Annual Report
XI. Compliance	49 (XI)	--	To be included in the next Annual Report

*for Wallfort Financial Services Limited*

*Ashok Bharadia*  
Ashok Bharadia

Managing Director  
(DIN- 00407830)  
Place: Mumbai  
Date: 15/04/2015

