



# WALLFORT

Financial Services Ltd.

## QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE UNDER CLAUSE 49 OF THE LISTING AGREEMENT

**Name of the Company** : **WALLFORT FINANCIAL SERVICES LIMITED**  
**Quarter ended as on** : **June 30, 2014**

Particulars	Clause of Listing Agreement	Compliance Status (Yes/ No)	Remarks
<b>(I) Board of Directors</b>	<b>49 I</b>		--
(A) Composition of the Board	49 (IA)	Yes	--
(B) Non executive Directors' compensation and disclosures	49 (IB)	Yes	--
(c) Other provisions as to Board and committee	49 (IC)	Yes	--
(D) Code of conduct	49 (ID)	Yes	--
<b>(II) Audit Committee</b>	<b>49 II</b>		--
(A) Qualified and independent Audit committee	49(IIA)	Yes	--
(B) Meeting of the Audit Committee	49(IIB)	Yes	--
(C) Powers of Audit Committee	49(IIC)	Yes	--
(D) Role of Audit Committee	49(IID)	Yes	--
(E) Review of the information of the Audit committee	49(IIE)	Yes	--



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(III) Subsidiary Companies	49 (III)	N.A.	--
(IV) Disclosures	49 (IV)		--
(A) Basis of related party transactions	49 (IV A)	Yes	--
(B) Disclosure of Accounting Treatment	49(IV B)	Yes	--
(C) Board Disclosures	49 (IV C)	Yes	--
(D) Proceeds from public issues, rights issues, preferential issues etc	49 (IV D)	N.A.	--
(E) Remuneration of directors	49(IV E)	Yes	--
(F) Management	49 (IVF)	Yes	--
(G) Shareholders	49(IVG)	Yes	--
(V) CEO/ CFO certification	49(V)	--	Included in the Annual Report
(VI) Report on Corporate Governance	49(VI)	--	Included in the Annual Report
(VII) Compliance	49 (VII)	--	Included in the Annual Report

For, WALLFORT FINANCIAL SERVICES LIMITED

DIRECTOR

Place: Mumbai

Date: 10/07/2014